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1 2 3 4 5 6 7 8	JEFFREY B. COOPERSMITH (SBN 252819) Email: jcoopersmith@orrick.com WALTER F. BROWN (SBN 130248) Email: wbrown@orrick.com MELINDA HAAG (SBN 132612) Email: mhaag@orrick.com RANDALL S. LUSKEY (SBN 240915) Email: rluskey@orrick.com STEPHEN A. CAZARES (SBN 201864) Email: scazares@orrick.com ORRICK, HERRINGTON & SUTCLIFFE LI The Orrick Building 405 Howard Street San Francisco, CA 94105-2669 Telephone: (415) 773-5700				
9	Facsimile: (415) 773-5759				
10	Attorneys for Defendant RAMESH "SUNNY" BALWANI				
11					
12	UNITED STATES DISTRICT COURT				
13	NORTHERN DISTRICT OF CALIFORNIA				
14	SAN JOSE DIVISION				
15	SAN JO	DE DIVIDION			
16					
17	UNITED STATES OF AMERICA,	Case No. 18-CR-258-EJD			
18	Plaintiff,	WAIVER OF PERSONAL APPEARANCE AT ARRAIGNMENT			
19	V.	AND ENTRY OF "NOT GUILTY" PLEA			
20	ELIZABETH HOLMES and RAMESH "SUNNY" BALWANI,	COURT'S ACCEPTANCE OF WAIVER			
21	Defendants.	Hon. Edward J. Davila			
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1	Pursuant to Rule 10(b) of the Federal Rules of Criminal Procedure, I, Ramesh "Sunny"		
2	Balwani, acknowledge and agree to the following in the above case:		
3	1. I have received a copy of the Third Superseding Indictment [Doc. 469] in this		
4	case. My attorney has discussed the charges with me and after being so advised, I understand the		
5	nature and substance of those charges, the maximum penalties, and my Constitutional rights. I		
6	waive a formal reading of the Third Superseding Indictment in open court.		
7	2. I understand I have a right to personally appear with my attorney in open court		
8	before a judicial officer for arraignment on the Third Superseding Indictment. By signing this		
9	waiver, I give up my right to personally appear in open court for an arraignment. I further		
10	understand that absent the present Waiver, I must appear before this Court as directed.		
11	3. I am entering a "Not Guilty" plea with the filing of this Waiver. I understand that		
12	entry by the Court of my not guilty plea will conclude the arraignment in this case for all		
13	purposes.		
14	Dated: July 30, 2020.		
15	<u>Signature on Last Page</u> Ramesh Balwani		
16	Defendant		
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1	I am the attorney of record for the above-named Defendant. I have reviewed the charges		
2	against my client and agree and consent to the Waiver of Personal Appearance at Arraignment		
3	and Entry of Not Guilty Plea.		
4			
5	M. B. Cours		
6	Dated: July 31, 2020. Jeffrey B. Coopersmith (CA Bar No. 252819)		
7	Attorney for Defendant Ramesh Balwani		
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13	The Court accepts the Waiver of Defendant's Appearance at Arraignment and enters a		
14	plea of "Not Guilty" for the Defendant Ramesh "Sunny" Balwani.		
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16	Dated: Hon. Edward J. Davila		
17	United States District Judge		
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Pursuant to Rule 10(b) of the Federal Rules of Criminal Procedure, I, Ramesh "Sunny" Balwani, acknowledge and agree to the following in the above case:

- 1. I have received a copy of the Third Superseding Indictment [Doc. 469] in this case. My attorney has discussed the charges with me and after being so advised, I understand the nature and substance of those charges, the maximum penalties, and my Constitutional rights. I waive a formal reading of the Third Superseding Indictment in open court.
- 2. I understand I have a right to personally appear with my attorney in open court before a judicial officer for arraignment on the Third Superseding Indictment. By signing this waiver, I give up my right to personally appear in open court for an arraignment. I further understand that absent the present Waiver, I must appear before this Court as directed.
- 3. I am entering a "Not Guilty" plea with the filing of this Waiver. I understand that entry by the Court of my not guilty plea will conclude the arraignment in this case for all purposes.

Dated: July 30, 2020.

Ramesh Balwani Defendant